

Black holes

Part 3. Hawking radiation and the Information paradox

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Penrose diagrams

Where can you go? ← causal structure!

Draw a diagram:

- ignore θ and φ ;
- keep **time** & **radius**;
- **light rays are diagonal** (45°)!
- draw infinity as a **finite box**.

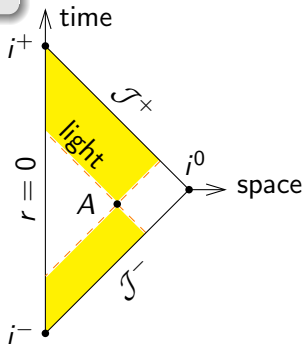
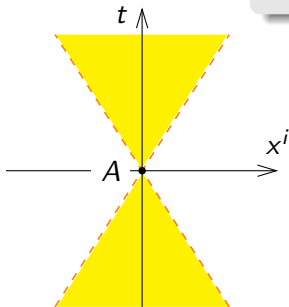
Notations

i^\pm — past/future infinities

i^0 — space infinity

\mathcal{I}^\pm — null infinities

flat spacetime



Penrose diagrams

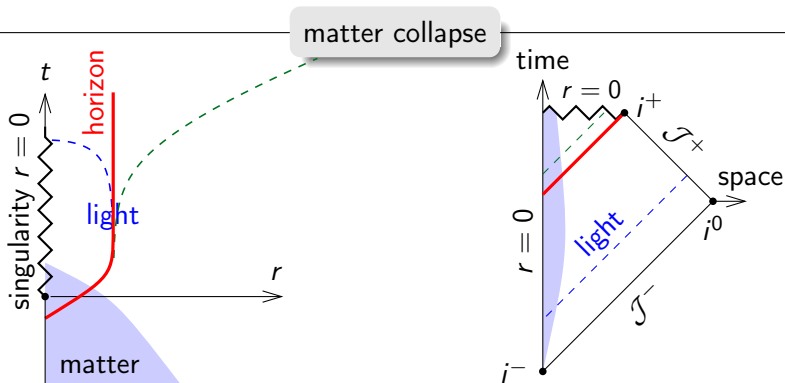
Where can you go? ← causal structure!

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Notations

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Definition of a black hole

- **Black hole** = spacetime region
from where you cannot go to infinity

Nonlocal: depends on the future!

- **Horizon** = black hole boundary

→ also nonlocal in time

→ fictitious surface

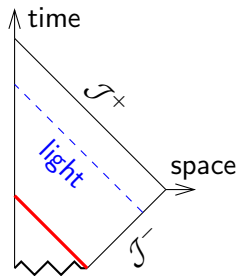
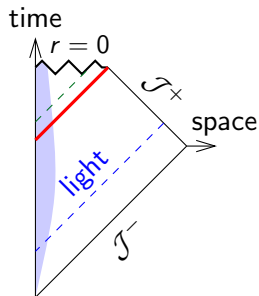
→ may have **small** curvature

- **White hole** = spacetime region
where you cannot enter

→ time reflection $t \rightarrow -t$

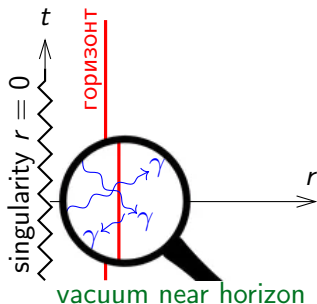
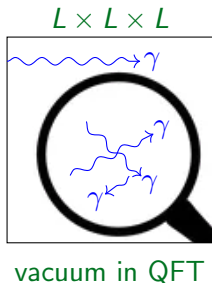
→ singular spacetime — does not exist?

Black holes are **defined** by causal structure!



Hawking radiation: main idea

Uncertainty relation: $\Delta p \gtrsim L^{-1}$ \Rightarrow vacuum fluctuations
(virtual particles)



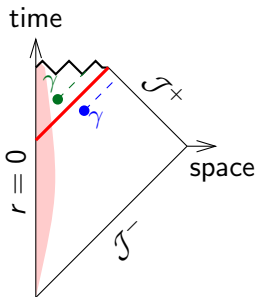
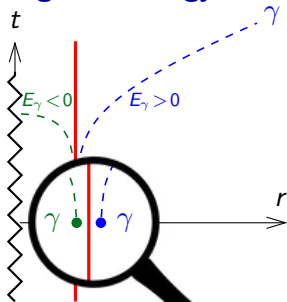
Flat spacetime — **not real particles!**

\rightarrow Energy conservation: $E_{tot} = 0$

\rightarrow Do not exist for long: $\Delta E_\gamma \Delta t \gtrsim 1$

Hawking radiation: main idea

But: **negative energy states under the horizon!**



Pairs of photons $|E_\gamma, -E_\gamma\rangle$:

→ born in vacuum: $2p_\gamma \gtrsim \Delta x^{-1}$

→ but conserve energy: $E_{tot} = 0$

} ⇒ **real photons!**

Any energy, any particle type!

⇒ **Ever-lasting radiation!**

$$|U\rangle \approx \sum_{E>0} c(E) | \underbrace{E}_{\text{Hawking particle}}, \underbrace{-E}_{\text{falls into singularity}} \rangle$$

Density matrix

$$|U\rangle \approx \sum_{E>0} c(E) |E, -E\rangle$$

- $|U\rangle$ — pure quantum state
- But we cannot register particles under horizon

$$\Rightarrow \hat{\rho}_{\text{rad}} = \text{tr}_{\text{bh}} |U\rangle\langle U| = \sum_{E>0} |c(E)|^2 |E\rangle\langle E|$$

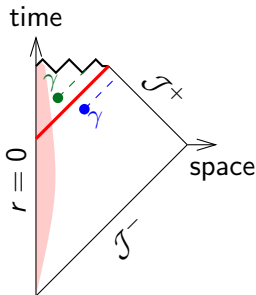
$$\Rightarrow \hat{\rho}_{\text{bh}} = \text{tr}_{\text{rad}} |U\rangle\langle U| = \sum_{E>0} |c(E)|^2 |-E\rangle\langle E|$$

- Hawking radiation is characterized by a **density matrix!**

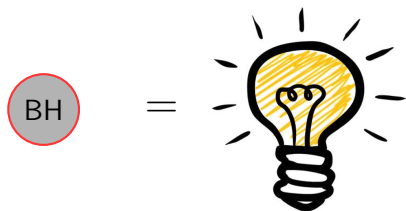
$$\langle U | \hat{A}_{\text{rad}} | U \rangle \equiv \text{tr}_{\text{rad}} \left(\hat{\rho}_{\text{rad}} \hat{A}_{\text{rad}} \right)$$

- Actual calculation: $c(E) = Z^{-1} e^{-E/2T_H}$

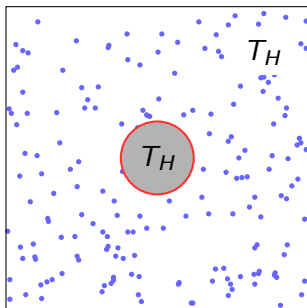
\Rightarrow **Thermal density matrix** $\hat{\rho}_{\text{rad}} = Z^{-1} e^{-\hat{H}_{\text{rad}}/T_H}$!



Black hole shines like a true thermal state!



black hole = lamp at temperature T_H



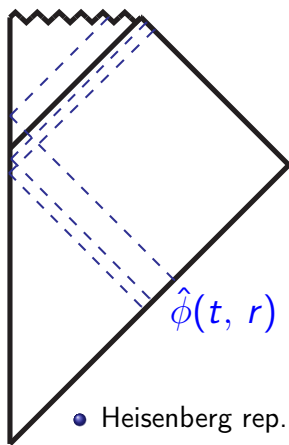
thermal equilibrium!

But:

- Changes **chemical composition** of the gas
- E.g., **breaks $B - L$** !

Hawking radiation: the actual calculation

$$\square \hat{\phi} = 0$$



- Background is **fixed** and **time-dependent!**
- Solution: $\hat{\phi} = \sum_{\omega} \left[\underbrace{\hat{a}_{\omega}}_{\text{annihilation}} \underbrace{f_{\omega}(t, r)}_{\text{creation operators}} + \underbrace{\hat{a}_{\omega}^{\dagger}}_{\text{creation operators}} \underbrace{f_{\omega}^{*}(t, r)}_{\text{solutions}} \right]$

- Ignore f 's falling into BH \leftrightarrow tr_{BH}

$$f_{\omega} \propto \begin{cases} e^{-i\omega t} & \text{as } t \rightarrow -\infty \\ \alpha_{\omega} e^{-i\omega t} + \underbrace{\beta_{\omega}^{*} e^{i\omega t}}_{\text{positive-freq.}} & \text{as } t \rightarrow +\infty \end{cases}$$

\Rightarrow True cre/ann operators as $t \rightarrow +\infty$:

$$\hat{b}_{\omega} = \alpha_{\omega} \hat{a}_{\omega} + \beta_{\omega} \hat{a}_{\omega}^{\dagger} \quad \text{and} \quad \hat{b}_{\omega}^{\dagger}$$

- Heisenberg rep.: $|U\rangle = |0_{\text{in}}\rangle$ — **time-independent**

- Occupation numbers: $\langle U | \hat{b}_{\omega}^{\dagger} \hat{b}_{\omega} | U \rangle = |\beta_{\omega}|^2 = \frac{1}{\underbrace{e^{\omega/T_H} - 1}}$

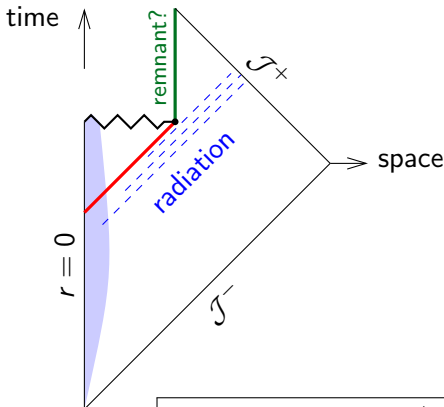
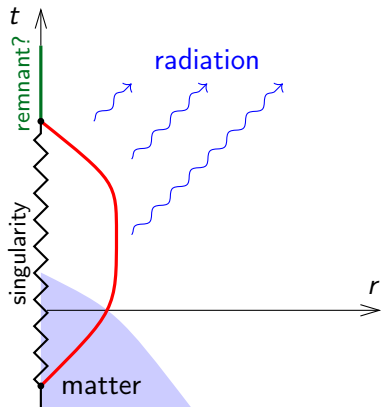
$$\hat{\rho}_{\text{rad}} = Z^{-1} e^{-\hat{H}_{\text{rad}}/T_H}$$



thermal result!

Black hole lifetime

Radiation + energy conservation \Rightarrow evaporating BHs!



Stefan-Boltzmann law: $\frac{dM}{dt} = -\frac{\pi^2}{60} A_h T_H^4 \Rightarrow M = M_i \left[1 - \frac{t}{t_{BH}} \right]^{1/3}$

Lifetime: $t_{BH} = 5120\pi G^2 M_i^3$

Primordial BHs: $M_i > 10^{14} \text{ g} \sim 10^{-20} M_\odot \Leftrightarrow t_{BH} > 10^{10} \text{ yr}$

Generalized black hole thermodynamics

law I: Energy conservation $S_B dT_H = dM$

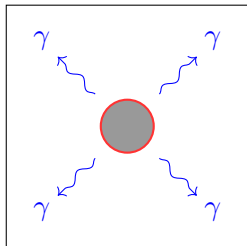
law II: Total entropy cannot decrease

$$S_{\text{tot}} = \frac{A_h}{4l_{\text{pl}}^2} + S_{\text{rad}}$$

law 0: Thermal states = maxima of S_{tot} !

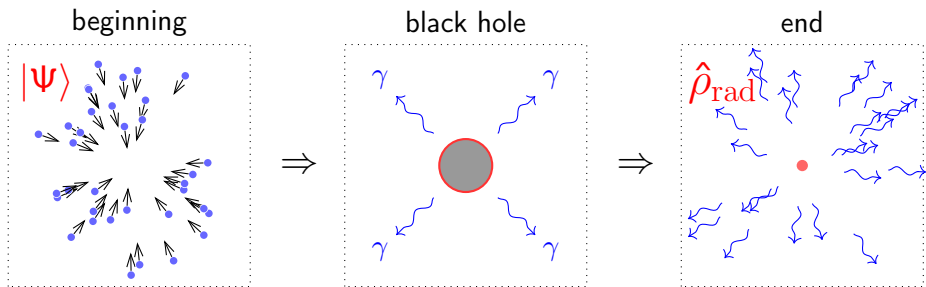
law III: Critical BHs cannot be reached in finite time

black hole



Now, we have a consistent picture!

Ha!



$$|\Psi\rangle \xrightarrow{\text{evolution}} \hat{\rho}_{\text{rad}}$$

depends only on T_H

Impossible in a unitary quantum theory!

- Complete loss of information on $|\Psi\rangle$!
- This is the information paradox

$|\Psi\rangle \rightarrow \hat{\rho}_{\text{rad}}$: what is wrong?

- **Errors** in calculation \leftrightarrow **pure** Hawking radiation?
- **Quantum theory** is wrong?
- **General relativity** should be modified?
- **Remnant** will save the day?

$|\Psi\rangle \rightarrow \hat{\rho}_{\text{rad}}$: what is wrong?

- **Errors** in calculation \leftrightarrow **pure** Hawking radiation?
 - \rightarrow Corrections to Hawking result: series in $M_{pl}/M \ll 1$
 - \rightarrow **Can they purify radiation**: $\hat{\rho}_{\text{rad}} = |\Psi_{\text{rad}}\rangle\langle\Psi_{\text{rad}}| \approx Z^{-1}e^{-\hat{H}/T_H}$?
- ~~Quantum theory is wrong?~~
 - \rightarrow Should be **non-unitary!**
 - \rightarrow **Loss of predictability & ~~CPT~~**
 - Black hole**: cannot predict the past
 - Time reversal**: cannot predict the future
- ~~General relativity should be modified?~~
 - \rightarrow Definition of horizon **depends on future**
 - \Rightarrow Such GR modifications **should be strongly nonlocal!**
 - \Rightarrow **Consistent modifications were not found ...**
- **Remnant** will save the day?
 - \rightarrow Expected to have $M \sim M_{pl}$
 - \Rightarrow **Too small to contain all information?**

Cloning paradox

Suppose Hawking radiation is **pure** ← error in calculations

⇒ Hawking photons γ **contain all info** about fallen photons γ

- Nice slices $\Sigma_{i,f}$ — smooth 3d spaces

- Initial state $|\Psi_\gamma\rangle$ — lives in Σ_i

Final state $|\Psi_\gamma, \Psi_\gamma\rangle$ — lives in Σ_f

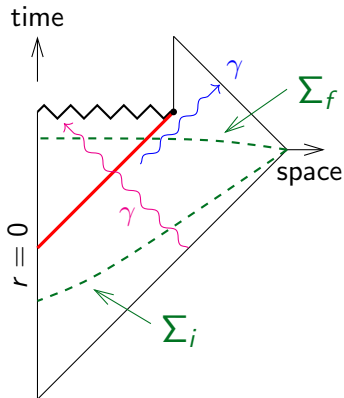
- | | | |
|-----------------------|-----------|------------------------------------|
| $ \Psi_\gamma\rangle$ | evolution | $ \Psi_\gamma, \Psi_\gamma\rangle$ |
|-----------------------|-----------|------------------------------------|

cloning

We are in trouble!

Cloning is **forbidden** in quantum theory

measure x_γ of one photon	} breaks uncertainty
measure p_γ of another photon	



We cannot pretend that the paradox does not exist!

Black hole complementarity

Complementarity in QM: cannot measure \hat{p} and \hat{x} simultaneously

Observers outside never meet observers inside

Black hole complementarity

Cannot compare measurements

inside and outside of BH

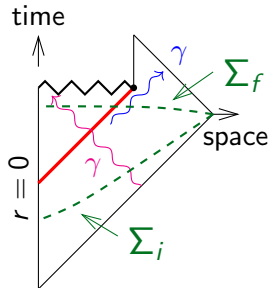
⇒ Cannot define final state on Σ_f

→ Not clear, where it lives

⇒ Falling and outside observers
see different worlds!

... like the BH insides mirror the BH outsides

Black holes are strange!



Cloning paradox returns!

Kamikaze: R = Romeo, J = Josephine

Paradox:

- Romeo jumps into a BH & sends γ
- Josephine waits & jumps
- Meets both R-photon γ
and Hawking photon γ !

Scrambling time = max time to reach $r = 0$

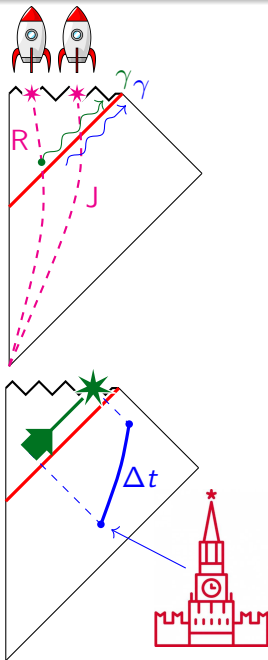
$$t_{\text{scr}} = 2r_h \ln(r_h/l_{\text{pl}})$$

Resolution of the paradox

Assume BHs detain information for

$$\Delta t \geq t_{\text{scr}}$$

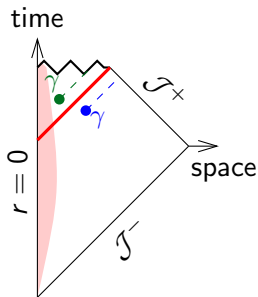
Looks strange!



Maybe, remnant saves the day?

Recall Hawking calculation:

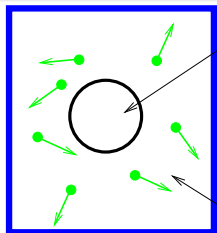
- Particles are created pairs
- Pairs are in **pure state** $|U\rangle$
 \Rightarrow BH + radiation is in **pure state!**



Remnant with $M = M_{pl}$ contains **all information?**

No, it does not!

Entanglement entropy



Black hole, $\Gamma_{BH} = e^{A_h/4l_p^2}$
 $\hat{\rho}_{BH} = \text{tr}_{\text{rad}} |\Psi_{\text{tot}}\rangle\langle\Psi_{\text{tot}}|$

Radiation, $\Gamma_{\text{rad}} = e^{S_{\text{rad}}}$
 $\hat{\rho}_{\text{rad}} = \text{tr}_{BH} |\Psi_{\text{tot}}\rangle\langle\Psi_{\text{tot}}|$

Entanglement entropy: $E_i = -\text{tr}_i(\hat{\rho}_i \ln \hat{\rho}_i)$

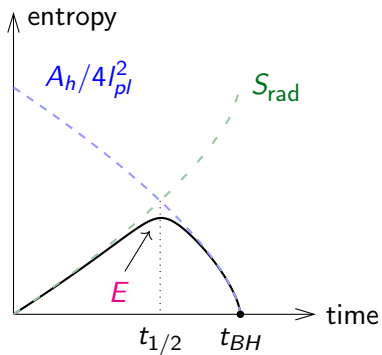
Algebraic theorems:

- $|\Psi\rangle$ — pure state $\Rightarrow E_{\text{rad}} = E_{BH}$
- $\max E_i = \ln \Gamma_i$ — reached at thermal $\hat{\rho}_i$
- $\min E_i = 0$ — reached at pure $\hat{\rho}_i = |\Psi_i\rangle\langle\Psi_i|$

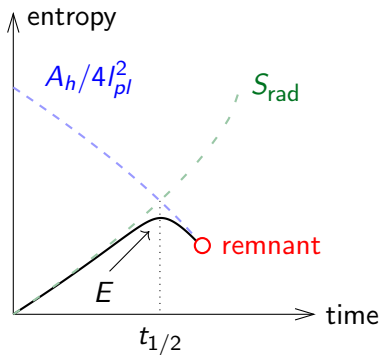
Conclusion

• $E_{\text{rad or BH}} \leq \min(\ln \Gamma_{\text{rad}}, \ln \Gamma_{BH}) = \min\left(\frac{A_h}{4l_p^2}, S_{\text{rad}}\right)$

Page time



Page curve: no remnant



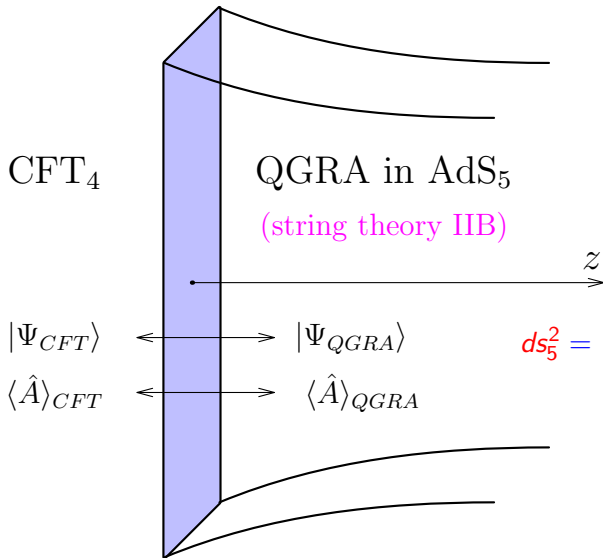
Page curve: remnant

Planckian remnant does not help!

AdS/CFT correspondence

A formulation of quantum gravity

Maldacena, 1997

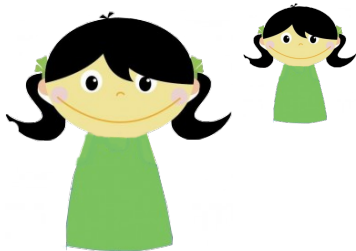


$$ds_5^2 = \frac{l_{\text{AdS}}^2}{l^2} (\eta_{\mu\nu} dx^\mu dx^\nu + dl^2)$$

Conformal Field Theories: theories without scale

The idea of the AdS/CFT correspondence

CFT:

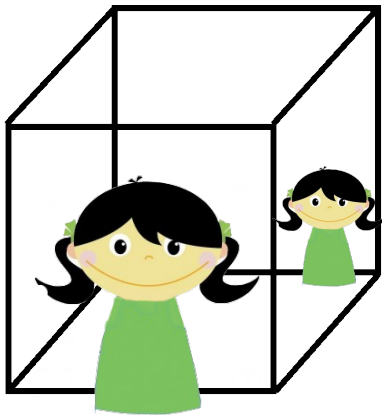


The second girl is smaller

All sizes are equivalent

The idea of the AdS/CFT correspondence

AdS₅:



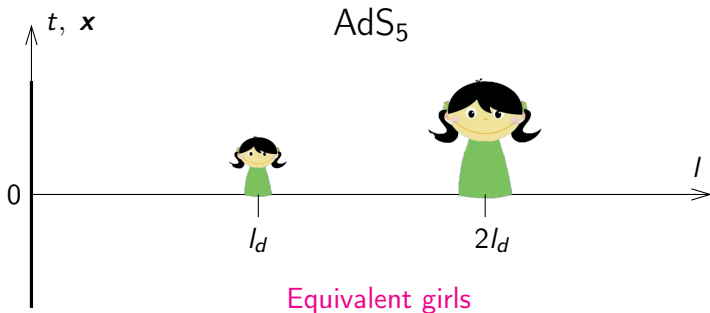
The second girl is further away

The girls are **equal** in fifth dimension

AdS₅ as a book-keeper of the sizes

$$ds_5^2 = \frac{l_{\text{AdS}}^2}{l^2} (\eta_{\mu\nu} dx^\mu dx^\nu + dl^2)$$

Symmetry: $x^\mu \rightarrow ax^\mu$, $l \rightarrow al$

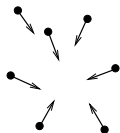


The paradox is absent!

CFT is a unitary theory in flat spacetime!

beginning

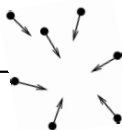
4d



particles

flat
spacetime

5d



particles

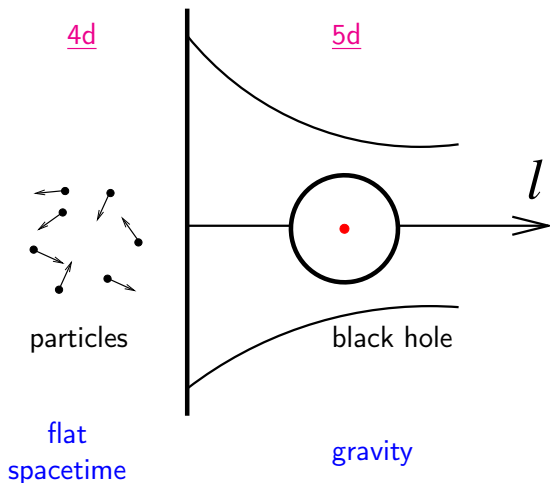
gravity



The paradox is absent!

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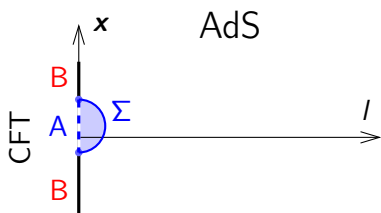
end



Search better: information is conserved!

Ryu–Takayanagi formula for the entanglement entropy

- Divide space into $A \cup B = \mathbb{R}^3$
- Σ — hypersurface with boundary A



Entanglement entropy:

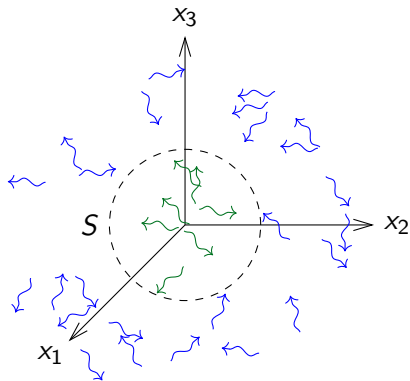
- CFT: $E_A^{\text{CFT}} = \text{tr}_B(\hat{\rho} \ln \hat{\rho})$
- AdS₅: $E_A^{\text{AdS}} = \min_{\Sigma} \left\{ \frac{A_{\Sigma}}{4l_{\text{pl}}^3} + E(\text{matter inside } \Sigma) \right\}$

AdS/CFT: $E_A^{\text{CFT}} = E_A^{\text{AdS}}$

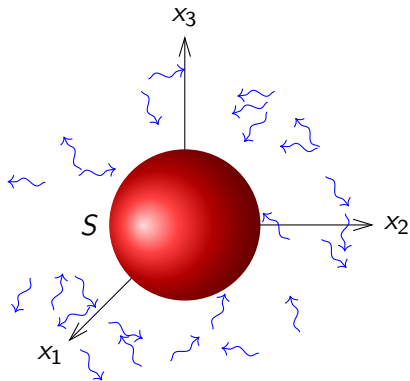
Application to black holes

Penington '19

$$E = \min_{\Sigma} \left\{ \frac{A_{\Sigma}}{4l_{pl}^3} + E(\text{matter inside } S, \text{ but outside } \Sigma) \right\}$$



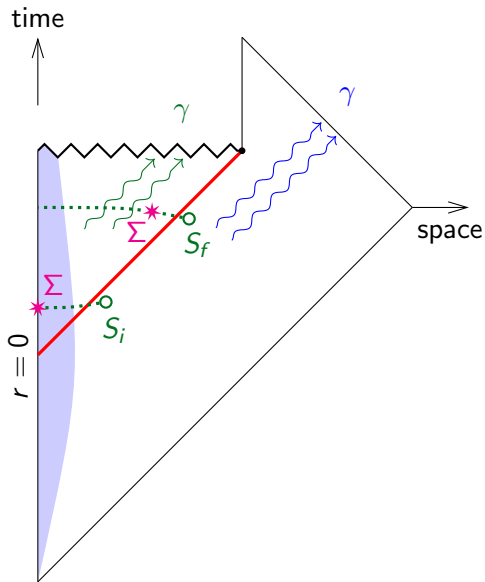
$$\Sigma = 0$$



$$\Sigma = S$$

Application to black holes

Penington '19



Summary

Black holes **shine**

- As black bodies with $T_H = (8\pi G)^{-1}$
- Live $t_{BH} = 5120\pi G^2 M^3$
- This is consistent with BH thermodynamics

No information paradox

- How to get this information? — Nobody knows

Black holes are **strange!**

- The internal space is a copy of the space outside
- Hold information for scrambling time
- Start to release it after Page time
- Strange formula for the entanglement entropy

Thank you!