Simple Models of Gravitational Collapse

Collapse of a Shell of Radiation

Consider an infinitely thin spherical shell of radiation in an otherwise empty spacetime.

Minkowski spacetime

$$ds^2 = -dv^2 + 2dv \, dr + r^2 d\Omega^2$$

Schwarzschild metric (with $v = t + r_*$) has the ingoing Eddington-Finkelstein form

$$ds^{2} = -f(r)dv^{2} + 2dv dr + r^{2}d\Omega^{2} \quad , \quad f(r) = 1 - \frac{2m}{r}$$

In both metrics, ingoing lightrays are described by lines of constant v.

- the flat Minkowski geometry inside the shell
- and the Schwarzschild metric outside the shell.

The shell moves along the ingoing null trajectory $v = v_0$, as viewed from both the internal Minkowski geometry and the external Schwarzschild geometry.

Simple Models of Gravitational Collapse

$$ds^{2} = -f(v,r)dv^{2} + 2dv \, dr + r^{2}d\Omega^{2} \quad , \quad f(v,r) = 1 - \frac{2m_{f}}{r}\Theta(v-v_{0})$$

The form of ingoing Vaidya metric:

$$ds^{2} = -f(v,r)dv^{2} + 2dv \, dr + r^{2}d\Omega^{2} \quad , \quad f(v,r) = 1 - \frac{2m(v)}{r}$$
$$m(v) = m_{f}\Theta(v - v_{0})$$

Einstein equations are satisfied by choosing

$$T_{vv} = \frac{1}{4\pi G_N} \frac{m_f}{r^2} \delta(v - v_0) \qquad \text{Purely ingoing light}$$

Simple Models of Gravitational Collapse



Rotating black holes

Kerr metric

$$ds^{2} = -\left(1 - \frac{2mr}{\rho^{2}}\right)dt^{2} - \frac{4mra\sin^{2}\theta}{\rho^{2}}dt \ d\phi + \frac{\Sigma}{\rho^{2}}\sin^{2}\theta d\phi^{2} + \frac{\rho^{2}}{\Delta}dr^{2} + \rho^{2}d\theta^{2}$$
$$\Delta(r) = r^{2} - 2mr + a^{2}$$
$$\rho(r,\theta)^{2} = r^{2} + a^{2}\cos^{2}\theta$$
$$\Sigma(r,\theta) = (r^{2} + a^{2})^{2} - \Delta(r)a^{2}\sin^{2}\theta$$

- Two free parameters m and a (rotation parameter), J = Ma.
- This metric is time-independent and axially symmetric, with the two commuting Killing vectors $\xi = \partial_t$, $\eta = \partial_\phi$.
- Stationary (but not static) \Rightarrow there is a term $g_{t\phi}$, no symmetry $t \rightarrow -t$ (More invariantly, this is the statement that the Killing vector t is not hypersurface-orthogonal)
- treduces to the Schwarzschild metric for a = 0. Also reduces to the Minkowski spacetime when m = 0, but in 'weird' rotating coordinates.
- Electric charge can be added to this solution by the same replacement $m \to m Q^2/(2r)$.

Kerr metric

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A symmetry $\theta \to \theta - \pi$. Also a symmetry $(t, \phi) \to (-t, -\phi)$, related to *circularity* property of the solution. (Running backwards in time negative spin = running forward in time with positive spin.)

$$\xi_{(t)} \wedge \xi_{(\varphi)} \wedge \mathsf{d}\xi_{(t)} = \xi_{(t)} \wedge \xi_{(\varphi)} \wedge \mathsf{d}\xi_{(\varphi)} = 0 \; .$$

Asymptotically

$$ds^{2} \simeq -\left(1 - \frac{2m}{r}\right)dt^{2} - \frac{4ma\sin^{2}\theta}{r}dtd\phi + dr^{2} + r^{2}\left(d\theta^{2} + \sin^{2}\theta d\phi^{2}\right)$$

Kerr black hole: ergosphere

$$ds^{2} = -\left(1 - \frac{2mr}{\rho^{2}}\right)dt^{2} - \frac{4mra\sin^{2}\theta}{\rho^{2}}dt \ d\phi + \frac{\Sigma}{\rho^{2}}\sin^{2}\theta d\phi^{2} + \frac{\rho^{2}}{\Delta}dr^{2} + \rho^{2}d\theta^{2}$$
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Static observers, remaining at fixed values of the spatial coordinates (r, θ, φ), with 4-velocity

$$u^{\alpha} \sim \xi^{\alpha}$$

A static limit or infinite redshift surface for such observers?

$$\xi^2 = g_{tt} = 0$$

$$g_{tt}(r,\theta) = 0 \quad \Leftrightarrow \quad \rho^2 - 2mr = r^2 + a^2 \cos^2 \theta - 2mr = 0$$

$$r_{sl}(\theta) = m + \sqrt{m^2 - a^2 \cos^2 \theta}$$

Kerr black hole: ergosphere

- No curvature singularity at $r = r_{sl}$.
- \clubsuit It defines the static limit surface for static observers: no static observers can exist for $r < r_{sl}$
- Also defines a surface of infinite redshift for static observers.
- Killing vector $\xi = \partial_t$ becomes null.
- For the Schwarzschild metric, $r_{sl} \rightarrow 2m = r_g$ reduces to the Schwarzschild radius.

However this surface is not a horizon

Kerr black hole: ergosphere

- Although no static observers can exist for $r < r_{sl}$ this does not by itself imply that one cannot escape from that region. Stationary observers can escape with $u^{\alpha} \sim \xi^{\alpha} + \Omega \eta^{\alpha}$.
- This surface is timelike, it has a spacelike normal.

$$S(r,\theta) = r - r_{sl}(\theta) = 0$$

Normal vector

$$N_{\alpha} = \partial_{\alpha}S: \quad N_{\alpha} = (0, 1, -dr_{sl}/d\theta, 0)$$

$$N_{\alpha}N^{\alpha} = g^{rr} + g^{\theta\theta}(dr_{sl}(\theta)/d\theta)^2 = \frac{1}{2mr_{sl}}\frac{m^2a^2\sin^2\theta}{m^2 - a^2\cos^2\theta} \ge 0$$

Clearly cannot be a horizon

Kerr black hole: inside the ergosphere

Stationary observers
$$u^{\alpha} \sim \xi^{\alpha} + \Omega \eta^{\alpha}$$
.

↓ u^{α} is timelike when $g_{tt} + 2\Omega g_{t\phi} + \Omega^2 g_{\phi\phi} < 0$

$$\begin{split} \Omega_{-}(r,\theta) &< \Omega(r,\theta) < \Omega_{+}(r,\theta) \\ \Omega_{\pm} &= \frac{-g_{t\phi} \pm \sqrt{g_{t\phi}^2 - g_{tt}g_{\phi\phi}}}{g_{\phi\phi}} \\ \Omega_{-} &\begin{cases} < 0 & \text{for } r > r_{sl} \\ = 0 & \text{for } r = r_{sl} \\ > 0 & \text{for } r < r_{sl} \end{cases} \end{split}$$

- Outside the ergosphere stationary observers can rotate either with or against the rotation of the black hole. On and inside the ergosphere a stationary observer only rotate with (i.e. to be dragged along by) the black hole.
- One can add a small motion in the direction of r or θ and see that one can escape from the inside of the ergosphere, as long as stationary observers exist.

Kerr black hole: Horizon

Stationary observers cease to exist when

$$g_{t\phi}^2 - g_{tt}g_{\phi\phi} = \Delta(r)\sin^2\theta = 0$$
 also $g^{rr} = \frac{\Delta}{\rho^2}$

$$\Delta(r) = r^2 - 2mr + a^2 = 0 \qquad \Rightarrow \qquad r_{\pm} = m \pm \sqrt{m^2 - a^2}$$

♦ We note that $r_+ = m + \sqrt{m^2 - a^2} \le m + \sqrt{m^2 - a^2 \cos^2 \theta} = r_{sl}(\theta)$ Equality is only at the poles $\theta = 0, \pi$

+ Hypersurface of constant r with the normal $N_{\alpha}\sim\partial_{\alpha}r$ becomes null at r_+ :

$$g^{\alpha\beta}\partial_{\alpha}r\partial_{\beta}r = 0$$

Kerr black hole: Horizon

At $r = r_+$ the stationary observers have the angular velocity

$$\Omega_h \equiv \omega(r_+) = \frac{a}{r_+^2 + a^2}$$

The Killing vector corresponding to the stationary observers there is null

$$\xi_h \equiv \xi_{\Omega_h} = \xi + \Omega_h \eta \qquad \Rightarrow \qquad \left(g_{\alpha\beta} \xi_h^{\alpha} \xi_h^{\beta} \right) |_{r=r_+} = 0$$

This surface is a Killing horizon and also the event horizon

Singularity occurs at $\rho^2 = r^2 + a^2 \cos^2 \theta = 0 \Rightarrow r = \cos \theta = 0$ It is a ring singularity, $x^2 + y^2 = a^2$, z = 0.

Important surfaces in the Kerr metric



[from d'Inverno's book]

Kerr summary



Killing horizon vs event horizon

- Rigidity theoreom (Hawking): The event horizon H of a real analytic, stationary, regular, vacuum spacetime is a Killing horizon: ∃ a Killing field k normal to H which verifies k² = 0 on H.
- For the outer horizon of the Kerr spacetime, this Killing vector is

$$k = \partial_t + \frac{a}{2Mr_+}\partial_\varphi$$

\diamond One can define the surface gravity κ_+ of \mathcal{H} as

$$k^{\mu}\nabla_{\mu}k^{\nu} = \kappa_{+}k^{\nu}$$

The surface gravity is constant on \mathcal{H} and is related to the Hawking temperature $T_H = \kappa_+/2\pi$

Conformal diagram for Kerr spacetime



Conformal diagram for $\theta=0$



Conformal diagram for $\theta=\pi/2$

From a talk by Piotr T. Chrusciel

Kerr singularity, extremal black holes, time machines

- **For** a > M there is no horizon \Rightarrow naked singularity
- **Extremal black hole** a = M

Time machine inside Kerr black hole

$$ds^{2} = -\left(1 - \frac{2mr}{\rho^{2}}\right)dt^{2} - \frac{4mra\sin^{2}\theta}{\rho^{2}}dt \ d\phi + \sum_{\rho^{2}}\sin^{2}\theta d\phi^{2} + \frac{\rho^{2}}{\Delta}dr^{2} + \rho^{2}d\theta^{2}$$
$$\Sigma = \left(r^{2} + a^{2}\right)^{2} - \Delta(r)a^{2}\sin^{2}\theta = \left(r^{2} + a^{2}\right)\rho^{2} + 2mra^{2}\sin^{2}\theta$$

Consider ρ close to 0, i.e. close to the ring singularity.

There is a region (r < 0) where the sign changes.

 $\partial/\partial \phi$ is timelike. Consider now t = const, r = const, $\theta = const$, and motion along ϕ -direction \Rightarrow closed timelike curves.



Black holes are bald

- Gravitational collapse...
- Black holes eat or expel surrounding matter
- Their stationary phase is characterised by a limited number of charges
- No details about collapse
- Black holes are bald
- No hair theorems/arguments dictate that adding degrees of freedom lead to trivial (General Relativity) or singular solutions.
- E.g. in the standard scalar-tensor theories BH solutions are GR black holes with constant scalar.



Example of hairy black hole

BBMB solution

Bocharova et al'70, Bekenstein'74

Conformally coupled scalar field:

$$S[g_{\mu\nu},\phi] = \int \sqrt{-g} \left(\frac{R}{16\pi G} - \frac{1}{2}\partial_{\alpha}\phi\partial^{\alpha}\phi - \frac{1}{12}R\phi^2\right) d^4x$$

Static spherically symmetric (nontrivial) solution:

$$ds^{2} = -\left(1 - \frac{m}{r}\right)^{2} dt^{2} + \frac{dr^{2}}{\left(1 - \frac{m}{r}\right)^{2}} + r^{2} \left(d\theta^{2} + \sin^{2}\theta d\varphi^{2}\right)$$

Secondary scalar hair:

$$\phi = \sqrt{\frac{3}{4\pi G}} \frac{m}{r-m}$$

NB. The geometry is of that of extremal RN. The scalar field is unbounded at r=m

Gauss-Bonnet term

$$S = \int \mathrm{d}^4 x \sqrt{-g} \left[\frac{M_P^2}{2} R - \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi + \lambda \phi \hat{G} \right]$$

Gauss-Bonnet invariant: $\hat{G} = R_{\mu\nu\sigma\alpha}R^{\mu\nu\sigma\alpha} - 4R_{\mu\nu}R^{\mu\nu} + R^2$

Horndeski theory with $G_5 \propto \ln |X| \Rightarrow \text{assumption (iii) is violated.}$

EoM for the scalar: $\Box \phi = -\lambda \hat{G}$

Source for the scalar: it cannot be trivial in BH background Kanti et al'92 Kanti et al'96 Sotiriou and Zhou'13

Solutions in "Galileon theory"

$$\mathcal{L}^{\Lambda CGJ} = R - \eta (\partial \phi)^2 + \beta G^{\mu\nu} \partial_{\mu} \phi \partial_{\nu} \phi - 2\Lambda.$$

$$\label{eq:general} \begin{split} ds^2 &= -h(r) dt^2 + \frac{dr^2}{f(r)} + r^2 d\Omega^2 \\ \phi &= qt + \psi(r) \end{split} \qquad \mbox{Time-dependent scalar !}$$

Asymptotically dS/AdS:

$$f = h = 1 - \frac{\mu}{r} - \frac{\Lambda_{\text{eff}}}{3}r^2, \quad \psi' = \pm \frac{q}{h}\sqrt{1-h}, \quad \Lambda_{\text{eff}} = -\frac{1}{2\beta}$$

Properties of disformed Kerr in modified gravity

EB, T.Anson, C.Charmousis, M.Hassaine'20

Ergosphere (static limit): static timelike observers can no longer exist, the Killing vector $l^{\mu} = (1, 0, 0, 0)$ becomes null. I.e. $\tilde{g}_{tt} = 0$, or

$$\tilde{g}_{tt} = 0 \quad \Rightarrow \quad r_E = \tilde{M} + \sqrt{\tilde{M}^2 - a^2 \cos^2 \theta}$$

Stationary limit. Observers constant (r, θ) , with a 4-velocity $u = \partial_t + \omega \partial_{\varphi}$. These observers cease to exist at the surface $\tilde{g}_{tt} \tilde{g}_{\varphi\varphi} - \tilde{g}_{t\varphi}^2 = 0$, i.e.

$$P(r,\theta) \equiv r^2 + a^2 - 2\tilde{M}r + \frac{2\tilde{M}Da^2r\sin^2\theta}{\rho^2(r,\theta)} = 0$$

The surface is *timelike* and thus cannot be a horizon.

b Horizon: a null hypersurface of the form $r = R(\theta)$. The normal has components

$$n_{\mu} = (0, 1, -R'(\theta), 0)$$

The condition $n^2 = 0$ yields

$$R'(\theta)^{2} + P(R,\theta) = R'(\theta)^{2} + R^{2} + a^{2} - 2\tilde{M}R + \frac{2\tilde{M}Da^{2}R\sin^{2}\theta}{\rho^{2}(R,\theta)} = 0$$

Surfaces

