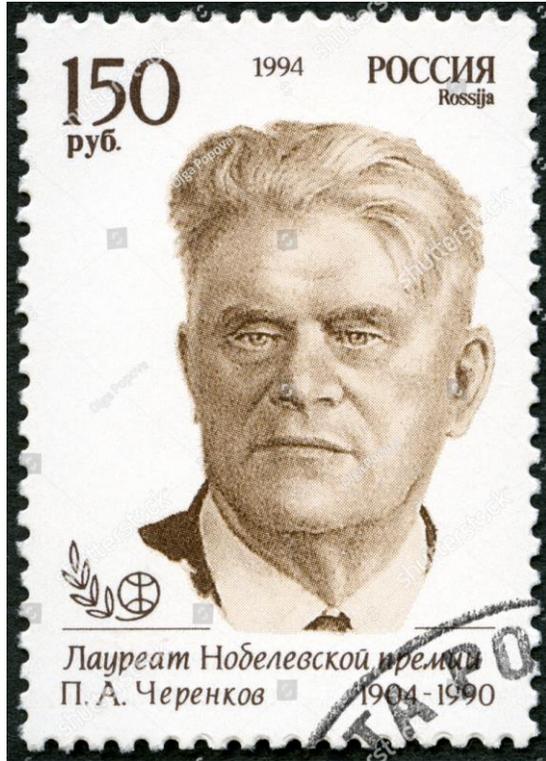


# Photonic crystals as novel radiators



RICH 2018 conference  
Moscow, Russia



*On behalf of: S.Easo, X.Lin, I.Kaminer, M.Blago et.al.*

Sajan Easo  
04-08-2018

# Outline

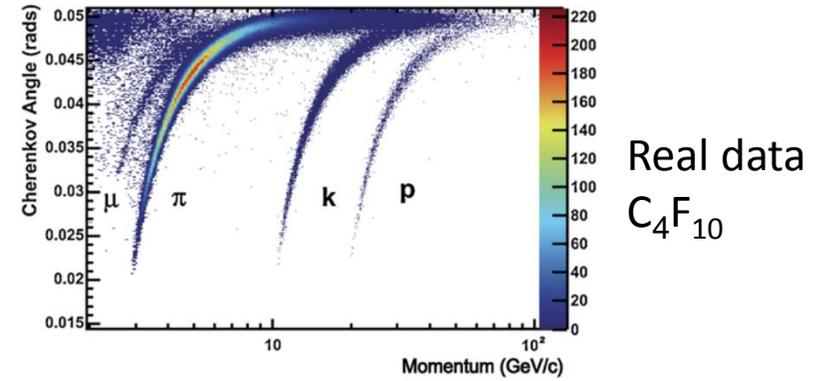
- Limitations of conventional radiators
- Photon production and propagation from photonic crystals
- Example configurations for particle identification
- Issues for design and optimization
- Testing with prototypes

# Some limitations of conventional radiators

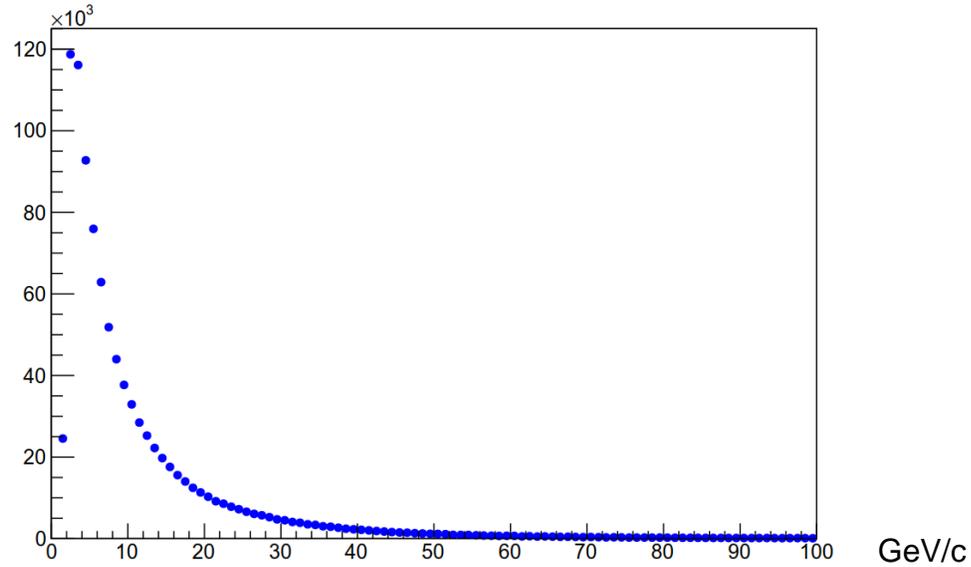
- For identification of particles with momenta in GeV/c range:
  - Dearth of materials to cover the full momentum range 1-10 GeV/c  
*Limited set includes Quartz ( $n \sim 1.47$ ) , aerogel ( $n \sim 1.03$ )*
  - Above 10 GeV/c, long gas radiators are used ( $n \sim 1.0013$  or lower); thin radiators are desirable
  - Electron-pion discrimination difficult for momenta above a few GeV/c
  
- Dielectric materials with  $n > \sim 1.8$  not suitable:
  - Saturated Cherenkov angles for most of the momentum range
  - Photon trapped inside due to total internal reflection at the boundary with ambient air/gas  
*Exception: DIRC like configuration for limited momentum region*

# Radiator limitation in LHCb

- Large number of particles in low momentum range

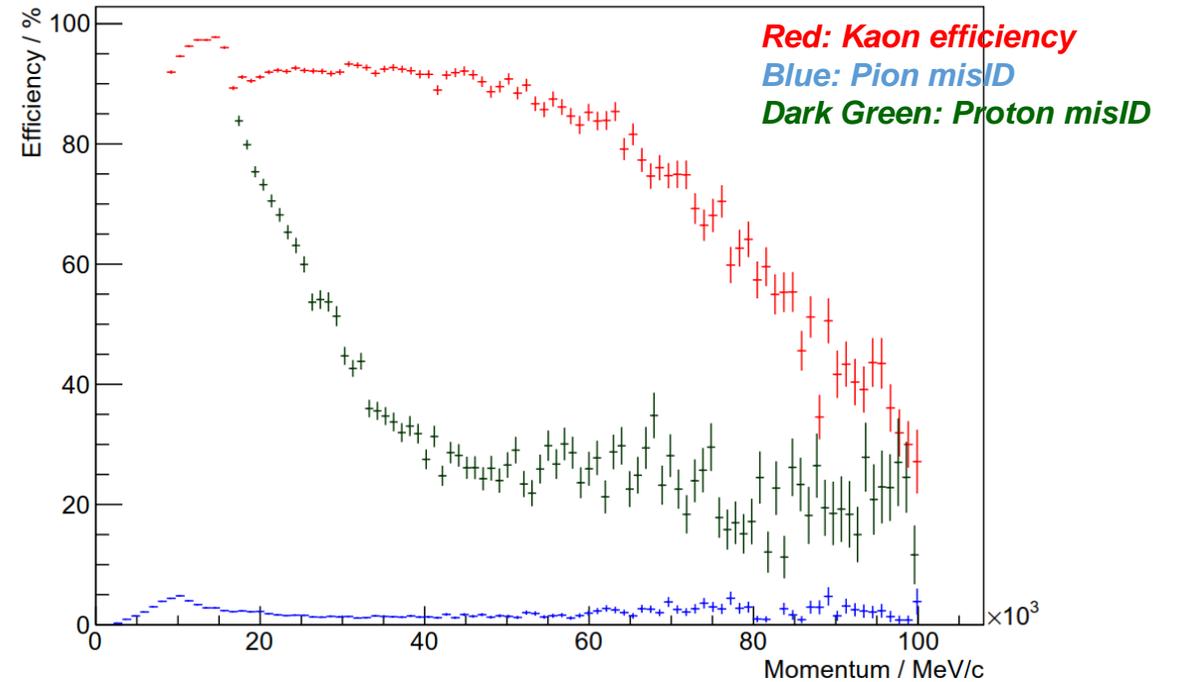


Particle momentum



- For now, using 'veto mode' for PID in low momentum
- Aerogel was used in RUN1, but was removed in 2015
- Illustration using LHCb upgrade configuration

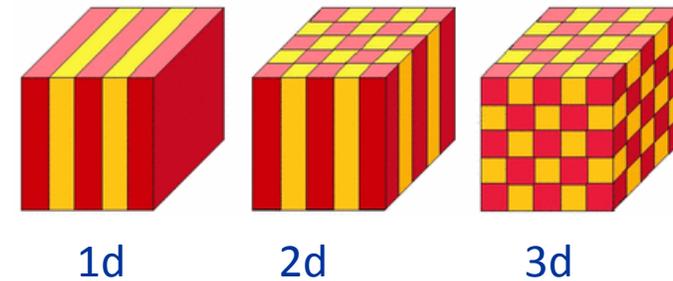
Example of PID performance



Thresholds in $C_4F_{10}$	
Kaon	: 9.3 GeV/c
Proton	: 17.8 GeV/c

# Radiator R&D

- One approach:
  - Assemble materials to produce the desired 'effective refractive index'
  - Requires designing photonic crystals from transparent dielectrics

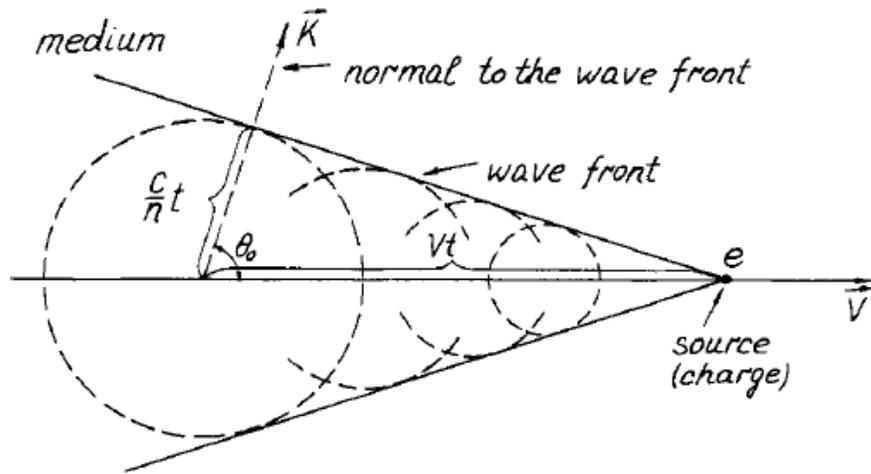


- Photonic Crystals :
  - Typically made from two materials with different refractive indices, in alternating layers.
  - The magnitude of layer thickness is similar to that of the photon wavelengths.
  - Production of layers as thin as optical wavelengths, feasible in recent years. This creates the current interest in using the crystals, as radiators
- This presentation:
  - Concept and prospects for this approach

# Photon Production

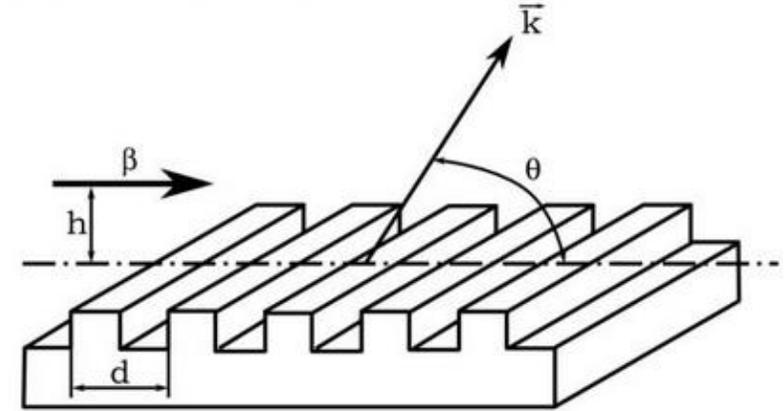
## Conventional Cherenkov Radiation:

- Frank and Tamm theory



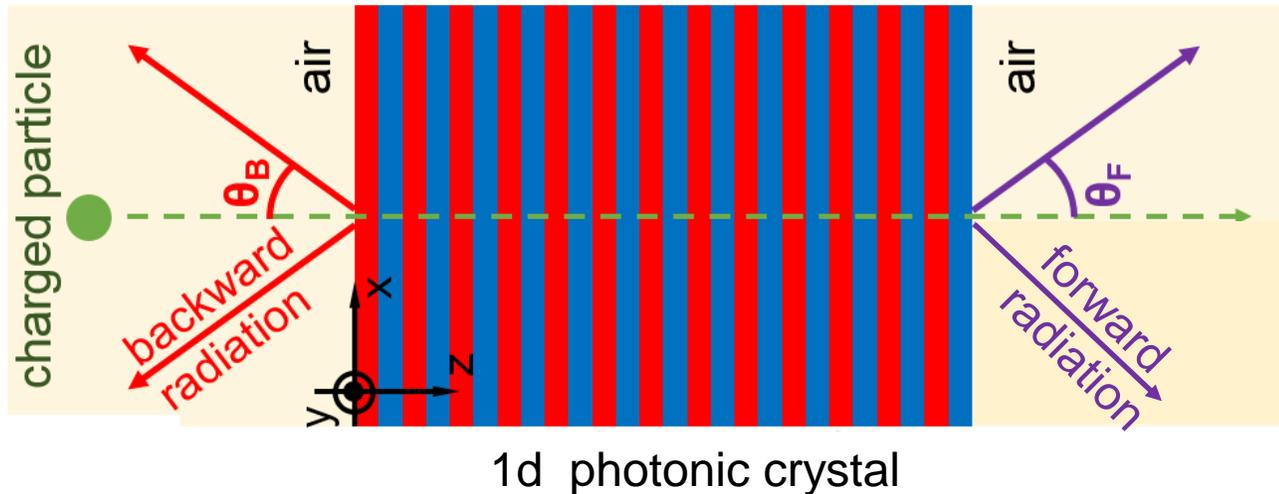
## Smith-Purcell Radiation :

- Particle travels near a diffraction grating



## Resonance Transition Radiation :

- Has the features of conventional Cherenkov radiation



# Photon production from photonic crystal

- Photon production and propagation in a periodic structure can be determined from solving Maxwell's equations. This extends the *Ginzburg and Frank* theory on transition radiation.

- This results in a linear equation:

$$\left[ \nabla \times \nabla \times - \left( \frac{\omega}{c} \right)^2 \epsilon(\vec{r}) \right] \vec{E}(\vec{r}) = i\omega\mu_0 \vec{J}(\vec{r})$$

$\vec{E}, \vec{H}$  : electric and magnetic fields

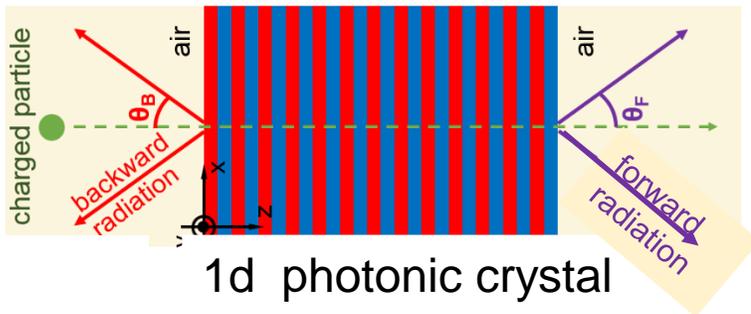
$\epsilon, \mu$  = permittivity, permeability,  $\vec{J}$ =current density

$z, \rho$  : directions along and normal to that of the particle

$\omega, k$  : frequency, wave vector

To be solved using boundary conditions.

- Solution: Particle generates Bloch modes of the crystal which have the form:  $\vec{E}(\vec{r}) \exp\left(i(\vec{k} \cdot \vec{r} - \omega t)\right)$
- For a particle traversing a 1d photonic crystal along z :



Components of the wave vector for the modes

which transmit into air

$$: \quad k_z = \frac{\omega}{c} \frac{1}{\beta} \quad , \quad k_\rho = \frac{\omega}{c} \sin(\theta)$$

Here  $\theta$  = effective Cherenkov angle at exit from crystal into air

- Periodicity leads to coherent interference of electromagnetic waves in the air, from the various interfaces  
*Constructive interference : Resonance Transition Radiation*

# Resonance Transition Radiation

- From the solution, the energy radiated into air can be determined
  - Involves integrating over angular spectral energy density, which is the distribution of radiation as a function of  $(\omega, \theta)$ .
  - In this context, Poynting vector:  $\vec{S} = \frac{1}{2} \text{Re} [\vec{E} \times \vec{H}^*]$
  - Typically use  $\epsilon_{r1}, \epsilon_{r2} \gg 1$ . Hence **conventional Cherenkov radiation** gets trapped inside due to total internal reflection :

$$k_\rho = \frac{\omega}{c} \sqrt{\epsilon_r - \beta^{-2}} > \frac{\omega}{c}$$

- Solutions for the 1d system are described in a recent paper:

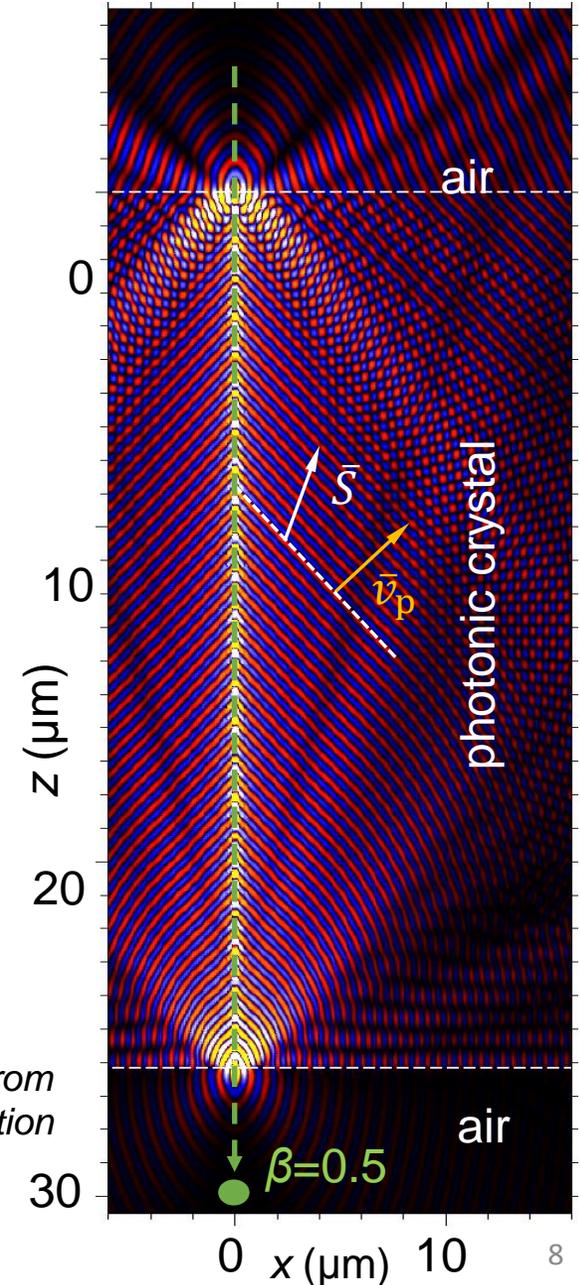
*“Controlling Cherenkov angles with resonance transition radiation”,*

*X.Lin, S.Easo, Y.Shen, H.Chen, B.Zhang, J.D.Joannopoulos, M.Soljacic, I.Kaminer ,*

*Nature Physics 14, 816-821 (2018)*

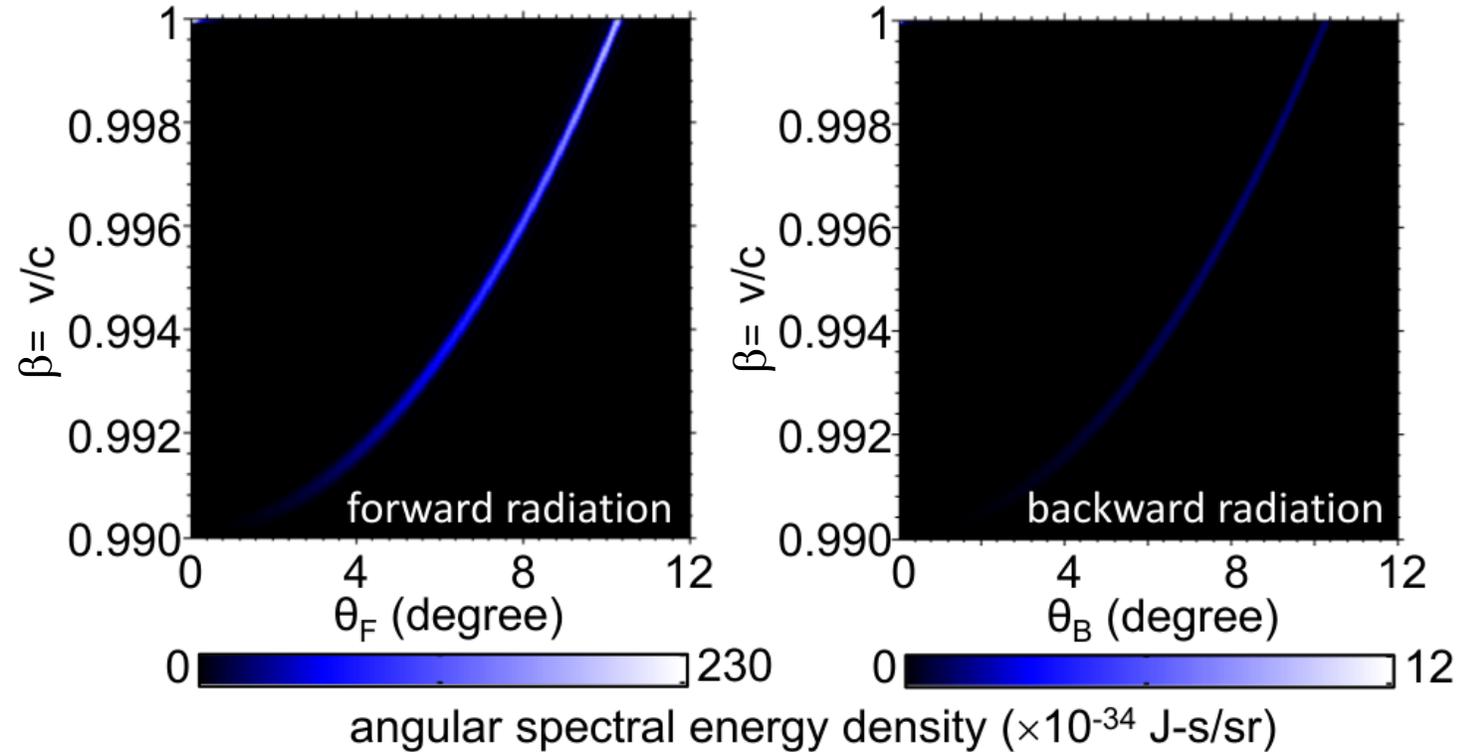
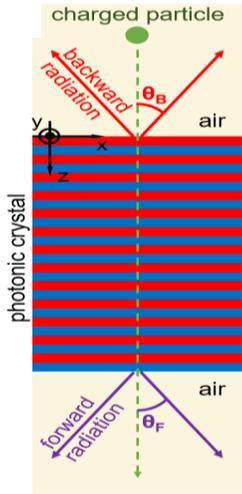
also <https://doi.org/10.1038/S41567-018-0138-4>

- Some inferences from this paper, in the following pages



Example of radiation field from Resonance transition radiation

# Forward configuration : example



- *Constructive interference in the forward radiation: strong signal*
- *Destructive interference in the backward radiation: weak signal*

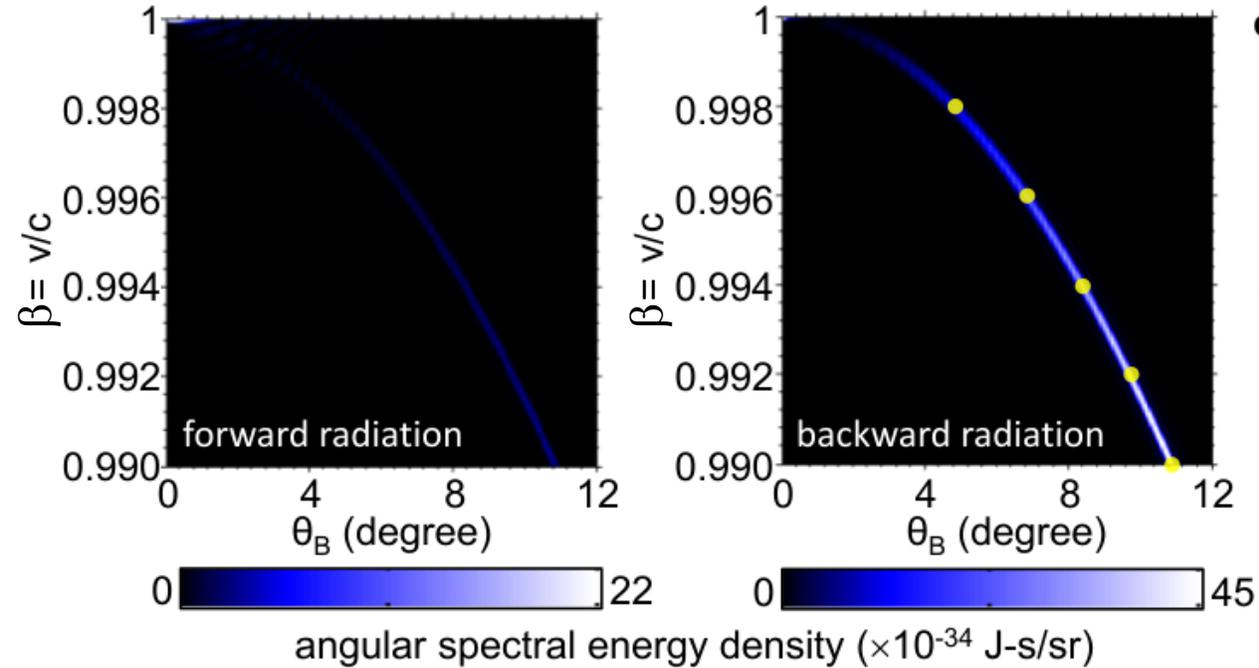
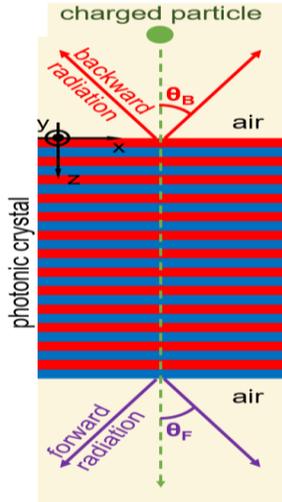
Forward:  $\theta_F$  increases with  $\beta=v/c$

Example configuration 1:

*Overall thickness = 2 mm,  $\epsilon_1 = 10.6$  (GaP),  $\epsilon_2 = 2.1$  (SiO2)*

*Forward setup: 2800 periods with (214.3 nm+ 500nm)*

# Backward configuration: example



- *Destructive interference in the forward radiation: weak signal*
- *Constructive interference in the backward radiation: strong signal*

Example configuration 2:

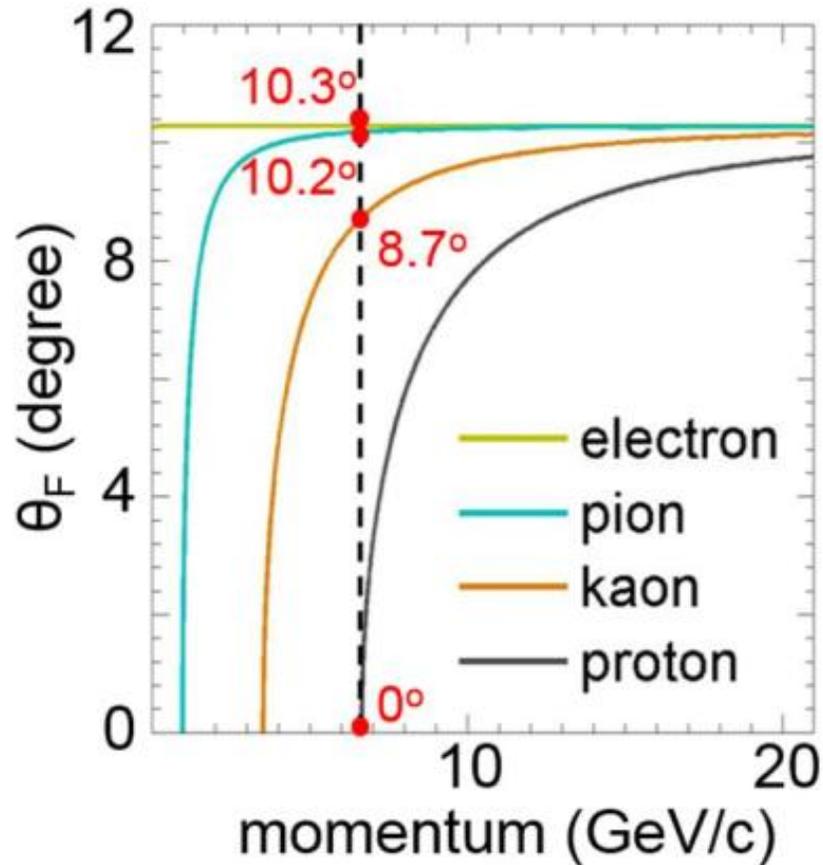
*Overall thickness = 2 mm,  $\epsilon_1 = 10.6$  (GaP),  $\epsilon_2 = 2.1$  (SiO<sub>2</sub>)*

*Backward setup: 10200 periods with (117.3 nm+ 78.1nm)*

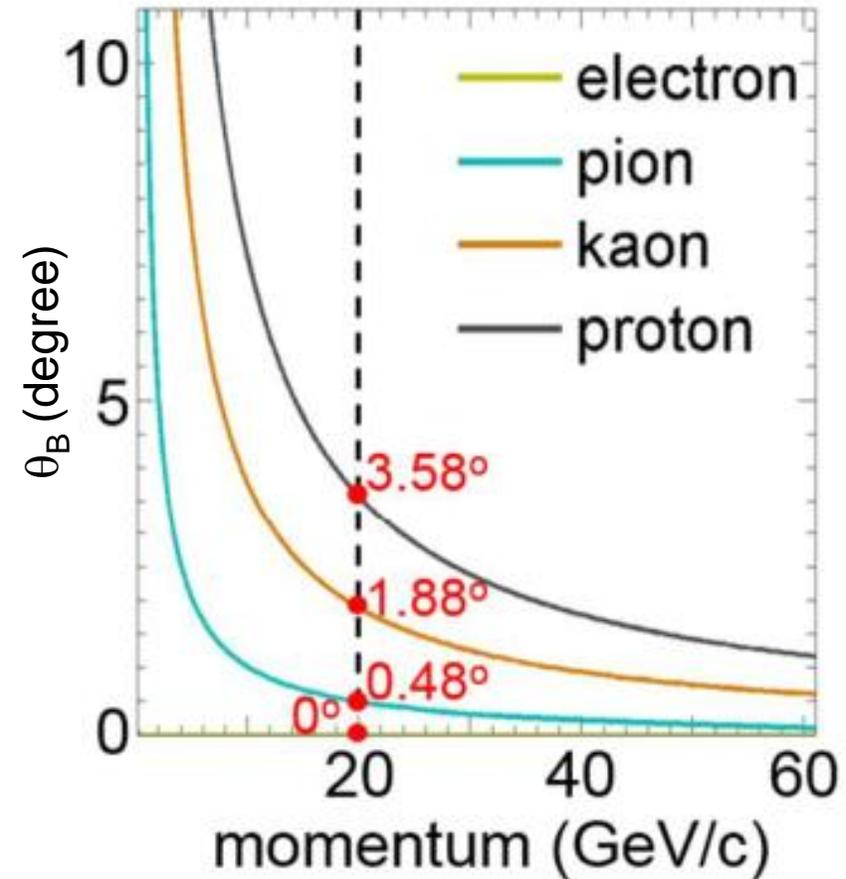
**Backward:  $\theta_B = 180^\circ - \theta_F$  ,  $\theta_B$  decreases with  $\beta = v/c$**

# Results from different particle types

Forward configuration example



Backward configuration example



- The features are similar to those of conventional Cherenkov radiation
- Can be configured for different momentum ranges

# Another option

- Existence of negative index of refraction, first proposed by Victor Veselago in 1968.
  - Experimentally verified in 1999-2000, and gave rise to the creation of meta materials
- Meta materials:
  - Layer thickness smaller than the wavelengths considered
  - Particles 'see' an 'effective medium' instead of the atoms
  - Normally made from resonant structure of metallic wires or nanomaterials
  - They can also have positive refractive index.
- Proposal to use meta materials as radiators for Cherenkov detectors :  
*“Controlling Cherenkov radiation with transformation-optical metamaterials”*  
*Ginis V. , Danckaet J, Veretennicoff I., Tassin P. Phys. Rev. Lett. 113, 167402 (2014).*
- The meta materials suggested for this are made from highly anisotropic materials.  
*Effective refractive index near 1.0 along one axis and large values along other axes.*
- This leads to “photon loss” at optical frequencies and thus the Cherenkov signal is significantly reduced. Hence, for now, the option to use meta materials is not pursued.
- On the other hand, photonic crystals are made from ‘almost’ transparent dielectric materials and hence the photon loss is minimal. So this option is pursued.

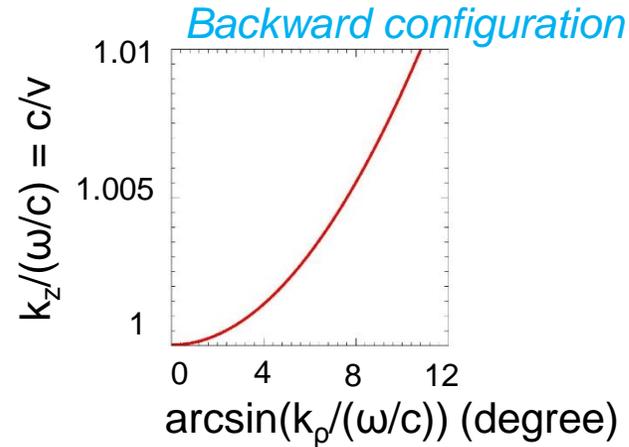
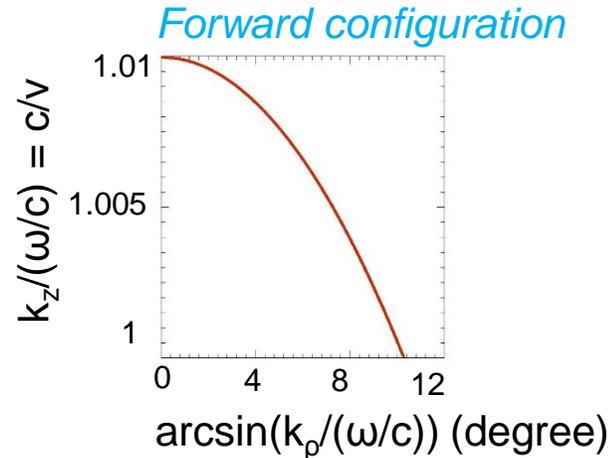
*more info :  
Backup pages,  
paper listed on page 8*

# Designing photonic crystals

- Normally a crystal may be designed for a specific momentum range of particles

It is envisaged to be used in forward or backward configuration, in a given momentum range

In principle, a crystal can even be designed for forward/backward in different momentum ranges



- For any design:
  - Find materials with the appropriate refractive indices
  - Optimize the layer thickness, number of layers etc.
  - Optimize for optical/near-UV frequencies where the photon detectors are sensitive
- In general, numerical solutions needed using software frameworks:
  - *FDTD (Finite Difference Time Domain)*
  - *COMSOL*
- Proprietary software:
  - *Analytical solution for 1d system*
  - *Allows simulation and design of 1d photonic crystals*

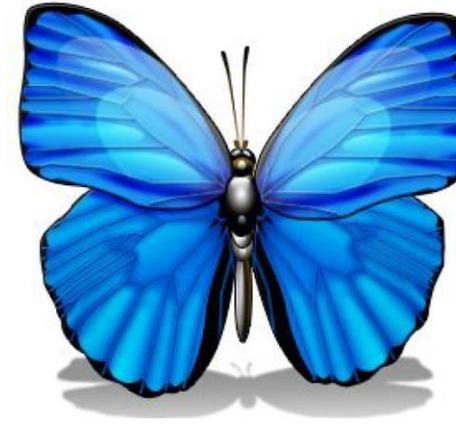
# Theory to Practice

## ➤ Production:

- Crystals need to be designed and produced
- This requires R&D

## ➤ 1d crystals:

- Can be produced in large scales
- Use techniques like optical lithography, 3d printing
- Some of these can attain single nanometer precision in layer thickness
- Using some type of polymers, also seems to be an option for large areas



*Phys. Rev. E 72, 010902(2005)*

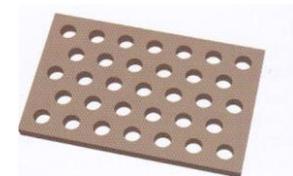
*Examples of natural photonic crystals*

*Zhurin et.al., J.Vac. Sci.Tech. A 18 , 37-41 (2000)*

*Ponting. M. et.al., Macromol. Symp. 294-1 , 19-32(2010)*

## ➤ 2d crystals:

- Only produced in small scales so far.
- Typically a periodic array of holes. They can be made thinner than 1d crystals



# Theory to Practice

## ➤ Issues in optimizing a design:

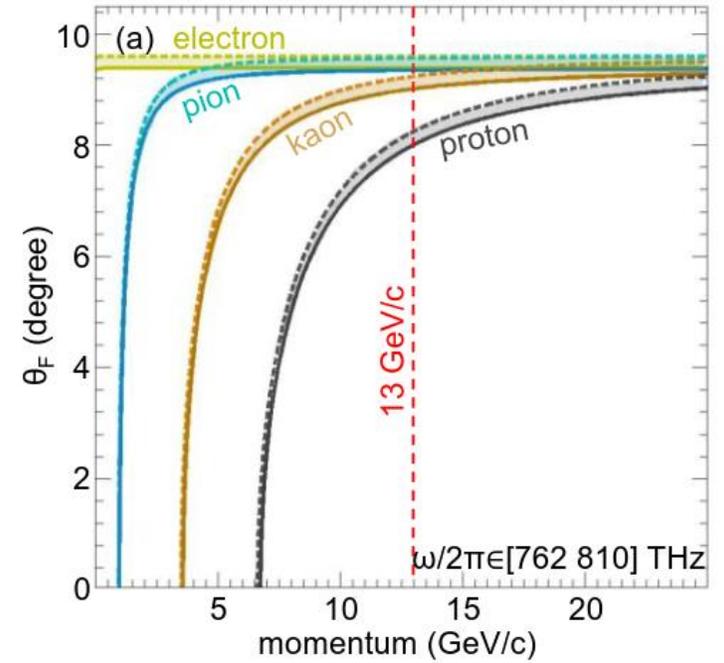
- Periodicity can cause chromatic error, depending on the configuration
- Many options to mitigate this effect.
  - *Use materials with anisotropy, which can directly compensate for the achromaticity from periodicity*
  - *Use filters to use only a small wavelength range*

## • Improving photon yield:

- *Increase the number of layers*
- *Upper limit from limitations of manufacturing and material budget*
- *Use gain materials, which can increase the yield in a small wavelength range*

## ➤ Ensure radiation hardness:

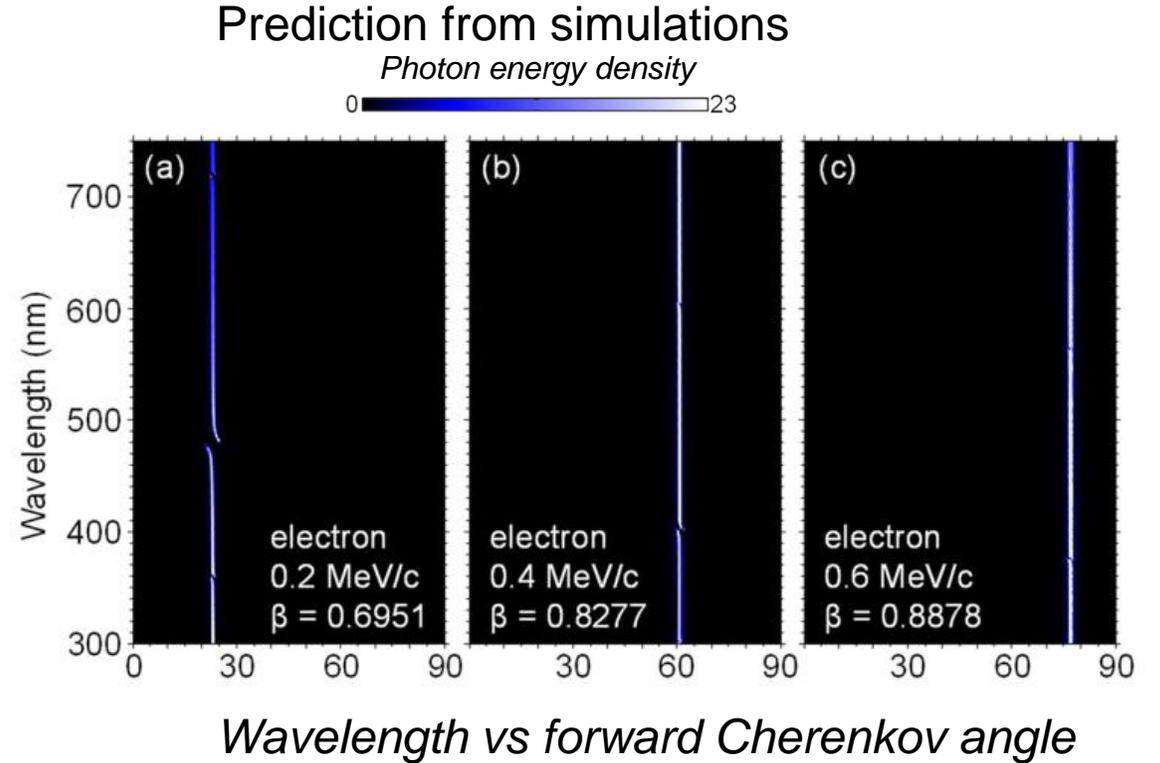
- *There are many low Z materials to create the crystals from. (SiC, SiO<sub>2</sub> etc.)*
- *They would need to be tested for radiation hardness*



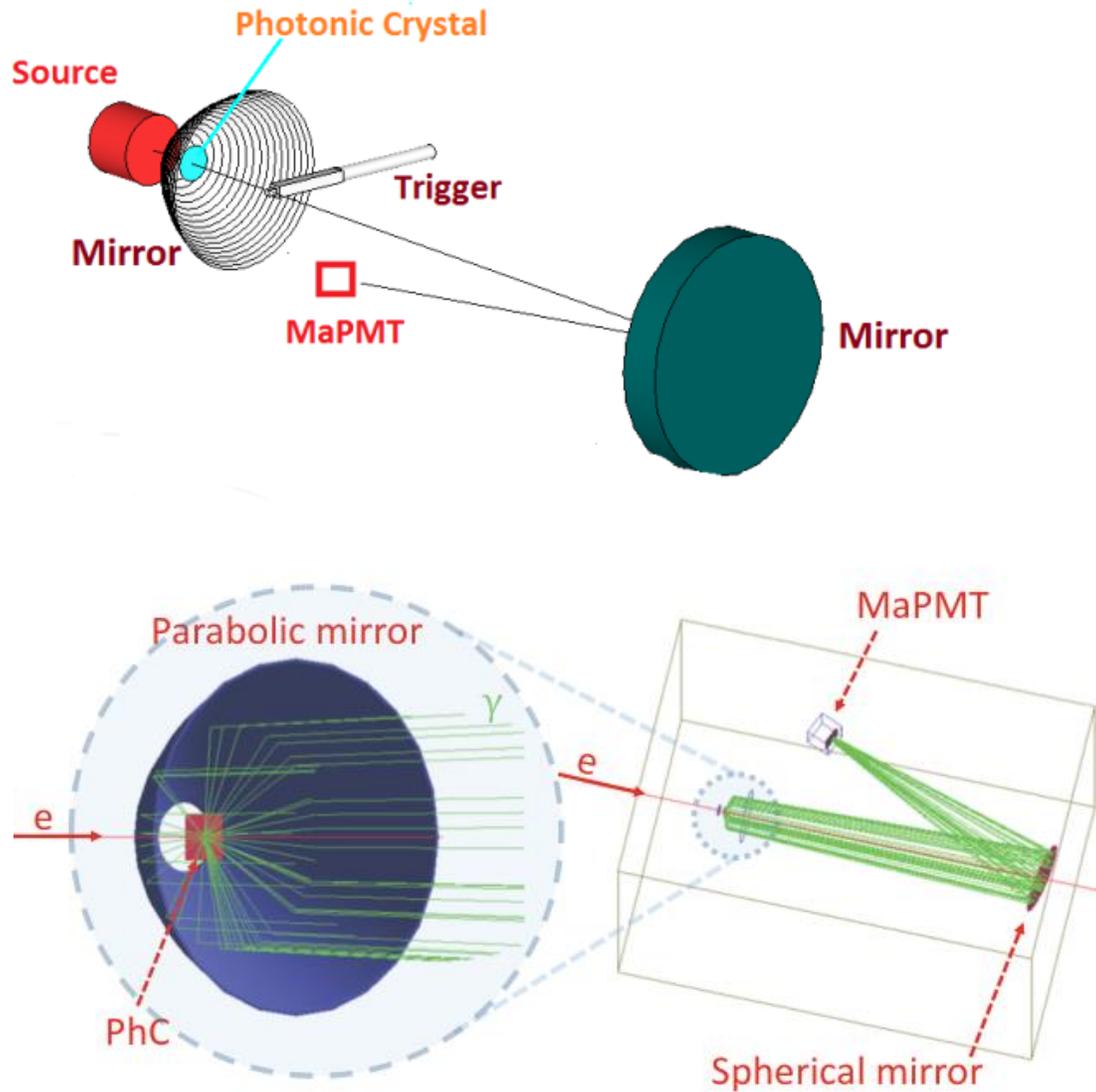
*Example of optimization*

# Testing Prototypes

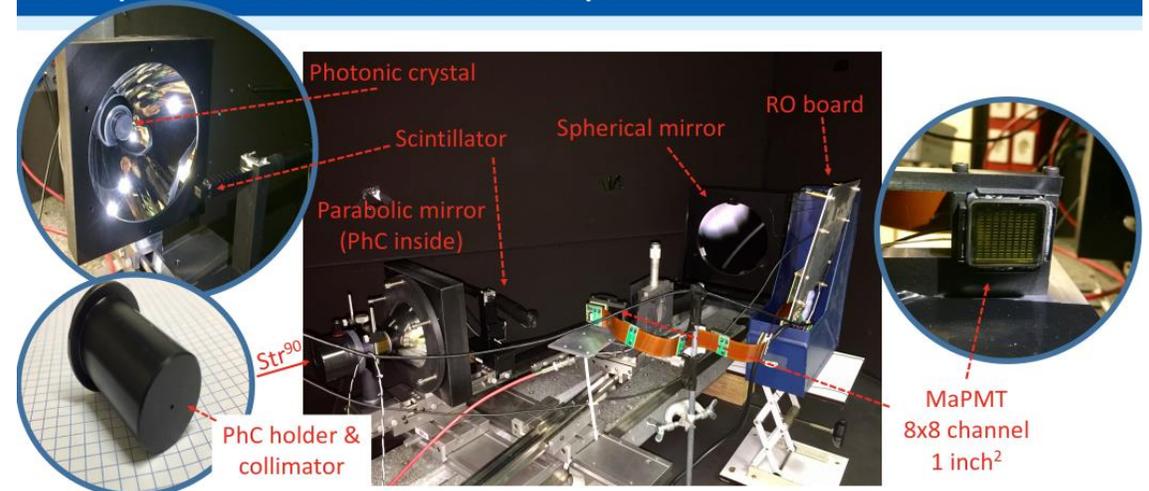
- Goal: To verify the predictions from simulations R&D work in early stages
- Few 1d samples obtained from industry
- Example used here:
  - PVDF ( $n_1=1.414$ ) + PET ( $n_2=1.567$ )  
1024 layers, each with 250 nm thickness
  - This sample has negligible chromatic error
  - Sensitive to low momentum particles from a radio active source



# Testing Prototypes



## Experimental set-up

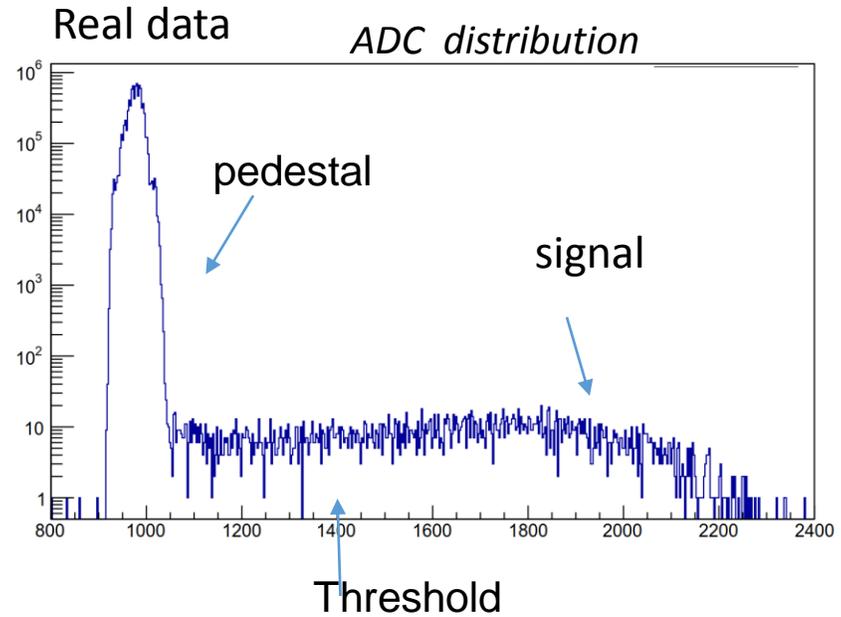


With support from D.Piedigrossi, S.Jakobsen , F.Cindolo et.al.

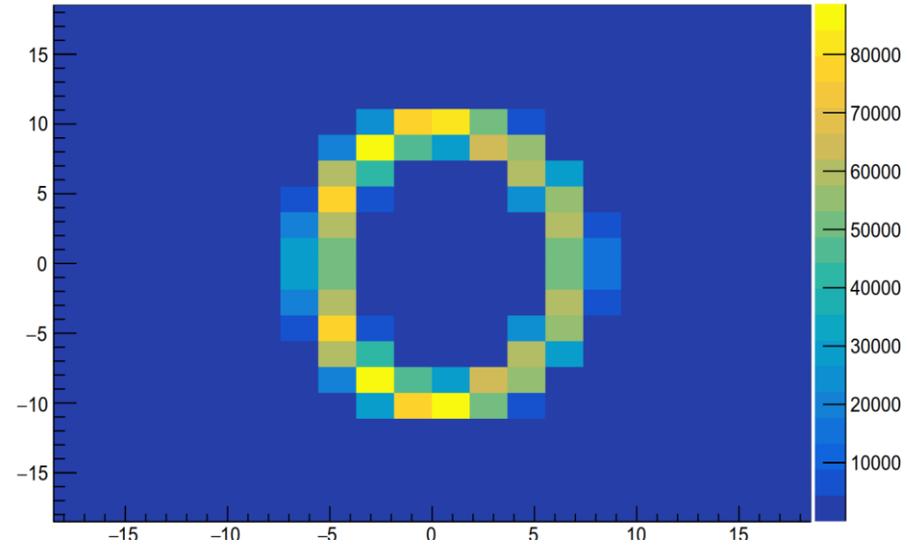
- Using Sr-90 source to produce electrons
- DAQ : MaPMT with MAROC2+FPGA

# Testing Prototypes

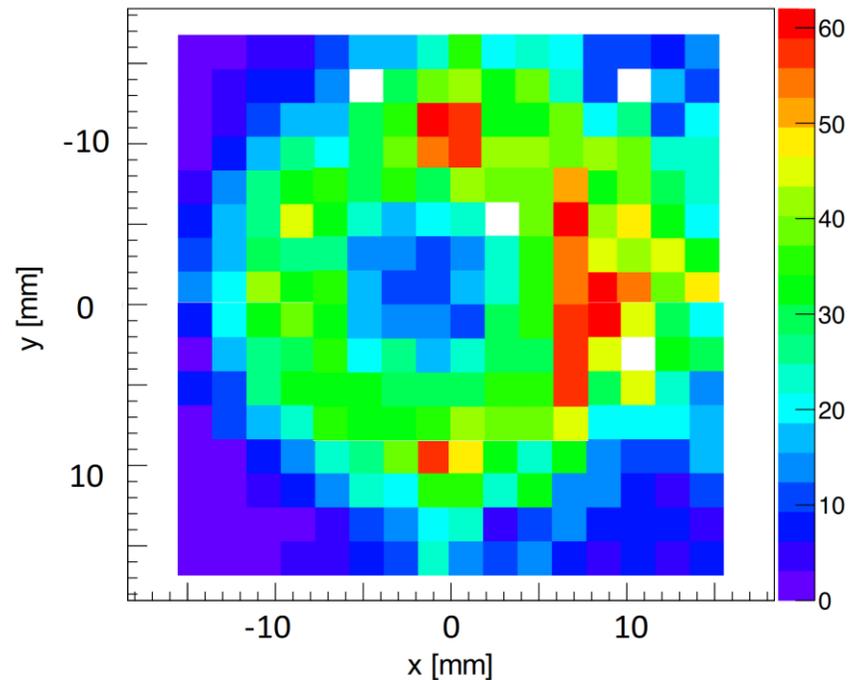
Simulation: Hits on detector plane



- Further R&D in progress to improve this
- Plan to test more prototypes



Optics simulation:  
0.5 MeV/c electron



*Preliminary*

- Real data  
Hits on MaPMT
- *Electron momenta*  
0.2 – 0.7 MeV/c  
creating a thick ring

# Summary

- It would be desirable to develop radiators which can overcome the limitations of conventional radiators
- Using photonic crystals made from transparent materials is a potential option for this
- The concept for usage of such crystals for particle identification is described
- Issues related to optimizing a design, are being considered
- Tests with prototypes have started

Backup slides

# Comparison between different radiations

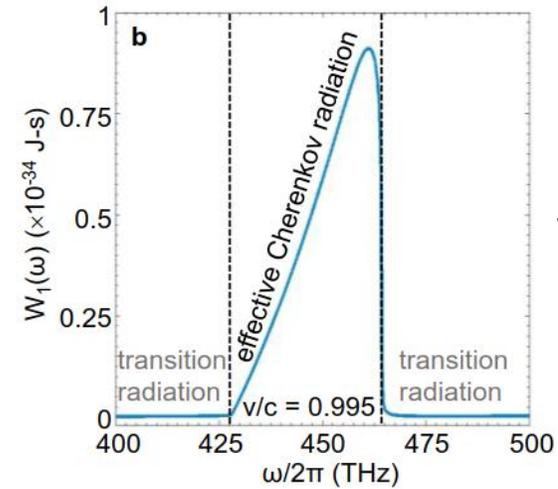
- From a photonic crystal:

- Constructive interference:

- Resonance transition radiation occurs
    - “Effective Cherenkov radiation” since it has the features of conventional Cherenkov radiation
    - Has a threshold for particle velocity

- Destructive interference:

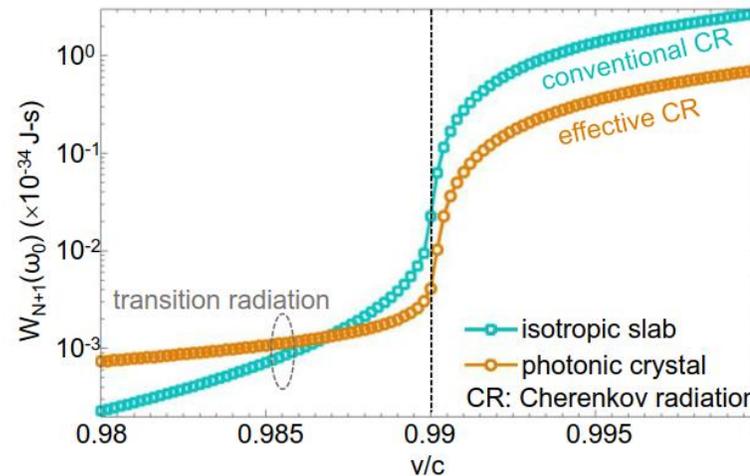
- Conventional transition-like radiation occurs
    - Has no threshold for particle velocity



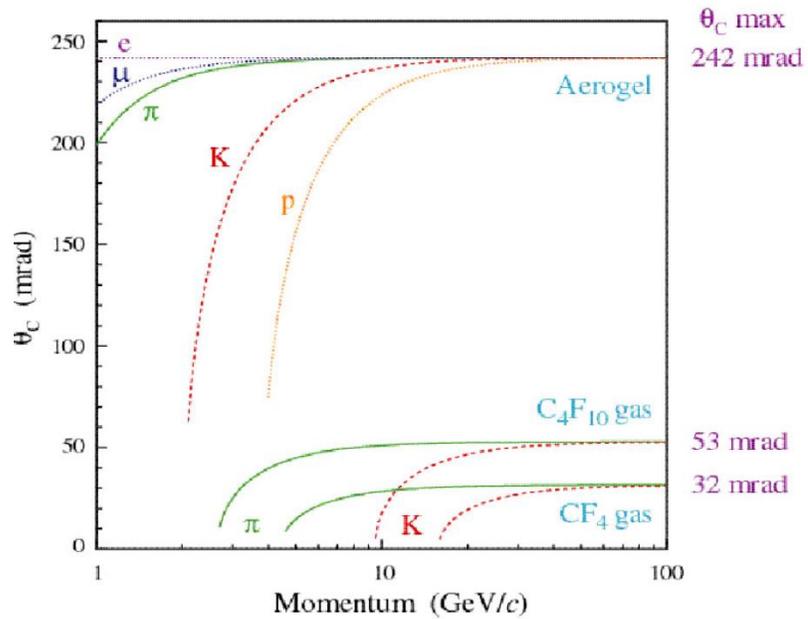
*Example of radiation spectrum from a photonic crystal.*

- Isotropic medium:

- Conventional Cherenkov and transition radiation occurs



*Example of radiation spectra from a photonic crystal and isotropic slab*



LHCb RICH1 EDR: LHCb-2004-121

Sensitivity =  $\theta_{\text{electron}} - \theta_{\text{proton}}$

Transformation optics:

Longitudinal stretching =  $F=1.005$  : Shift curves to right

Transverse stretching =  $G=10$  : Increase  $\theta$

